

GAU 1646



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Attorney's Docket No.: 09531-016002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Nelsestuen
Serial No. : 10/031,005
Filed : October 29, 2001
Title : MODIFIED VITAMIN K-DEPENDENT POLYPEPTIDES

Art Unit : 1646
Examiner : Unknown

Commissioner for Patents
Washington, DC 20231

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The following correspondence relating to this application is enclosed for filing:

1. Information Disclosure Statement (1 page);
2. Form PTO-1449 (4 pages); and
3. A Return Postcard.


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Respectfully submitted,

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5/23/02


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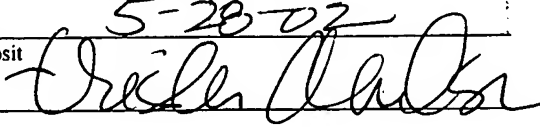
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INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

Under 35 USC §120, this application relies on the earlier filing date of application serial number PCT/US00/11416, filed on April 28, 2000, which claims priority to application serial number 09/302,239, filed on April 29, 1999. The references listed on the attached form PTO-1449 were submitted to and/or cited by the Patent Office in the prior application and, copies therefore, are not provided in this application.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 09531-016002 | Application No. 10/031,005 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Gary L. Nelsestuen | |
| (37 CFR §1.98(b)) | | Filing Date October 29, 2001 | Group Art Unit 1646 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|-------------------|-------|----------|----------------------------|
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Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AO | 0 296 413 A2 | 12/28/88 | EPO | | | | |
| | AP | 0 354 504 A2 | 02/14/90 | EPO | | | | |
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Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
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Examiner Signature

Date Considered

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|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 09531-016002 | Application No. 10/031,665 |
| | Applicant Gary L. Nelsestuen | | JUN 12 2002 |
| | Filing Date October 29, 2001 | 1646 | TECHNICAL CENTER 1600/2900 |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
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| Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 09531-016002 | Application No. 10/031,005 |
| | Applicant Gary L. Nelsestuen | | |
| | Filing Date October 29, 2001 | Group 1 1646 | |

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Other Documents (include Author, Title, Date, and Place of Publication)

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